

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - Indbank Merchant Banking Services Limited
 2. Quarter ending - 30.09.2020

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson / Executive / Non-Executive / Independent / Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr.	G R Sundaravadivel	0035335930	AKSPSS392R	ID		20-Nov-2013	21-Sep-2019		13	22-Aug-1941	Yes	21-Sep-2019	2	2	3	2	AC, NRC	Member of CSR Committee

Mr s.	Chitra Murali	0 6 7 5 1 1 0 5	A C S P C 8 2 9 7 R	ID		20- Nov- 2013	21- Sep- 2019		13	04- Oct- 1963	NA		1	1	2	1	AC ,SC ,N RC	Member of CSR Committee .
Mr.	Vaijinath Gavarshetty	0 8 5 0 2 4 8 4	A B Q P G 4 4 9 2 F	ID		19- Jul- 2019	21- Sep- 2019		13	18- Jun- 1958	NA		1	1	1	0	AC ,N RC	Member of CSR Committee .
Mr.	K. Ramachandran	0 8 5 8 9 6 2 2 8	A B Q P R 0 4 5 3 F	ND,C & NED		11- Jun- 2020	29- Sep- 2020		0	07- Jun- 1961	NA		3	0	1	0	NA	
Mr.	Arun Kumar Bansal	0 8 4 2 5 5	A B X P B 3	NED, ND		25- Jul- 2020	29- Sep- 2020		0	01- Sep- 1970	NA		2	0	4	0	AC ,SC ,N RC	Member of CSR Committee .

		8 2	2 0 9 N															
Mr.	A.Rajaraman	0 8 8 5 4 1 3 4	A F L P A 1 1 7 3 N	ED,N D		03- Sep- 2020	29- Sep- 2020		0	05- May- 1964	NA		2	0	2	0		SC

Company Remarks	Company has not appointed chairperson of the Board. Since, the field is not allowing us to file the CG, we have selected Shri K.Ramachandran, as chairperson of the Board.
Whether Permanent chairperson appointed	No
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	G R Sundaravadivel	ID	Chairperson	22-Mar-2014	
2	Vaijinath Gavarshetty	ID	Member	19-Jul-2019	
3	Chitra Murali	ID	Member	20-Nov-2013	
4	Arun Kumar Bansal	NED,ND	Member	25-Jul-2020	

Company Remarks	
-----------------	--

Whether Permanent chairperson appointed	Yes
---	-----

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Chitra Murali	ID	Chairperson	09-Aug-2014	
2	Arun Kumar Bansal	NED,ND	Member	25-Jul-2020	
3	A.Rajaraman	ED,ND	Member	03-Sep-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
---------	----------------------	----------	------------------------	------------------	----------------

Company Remarks	
Whether Permanent chairperson appointed	

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	G R Sundaravadivel	ID	Member	09-Aug-2014	
2	Vaijinath Gavarshetty	ID	Member	19-Jul-2019	
3	Chitra Murali	ID	Chairperson	07-Nov-2019	
4	Arun Kumar Bansal	NED,ND	Member	25-Jul-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
---	---	-----------------------------------	-----------------------------	---

11-Jun-2020	03-Sep-2020	Yes	3	2
-------------	-------------	-----	---	---

Company Remarks	
Maximum gap between any two consecutive (in number of days)	83

iv. **Meeting of Committees**

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	11-Jun-2020	03-Sep-2020	Yes	2	2
Nomination & Remuneration Committee	11-Jun-2020	03-Sep-2020	Yes	2	2

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	83

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
--	--

VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
 b. Any comments/observations/advice of Board of Directors may be mentioned here:

Name : **Balamurugan V**
Designation : **Company Secretary and Compliance Officer**

ANNEXURE III

Affirmations

<i>Broad Heading</i>	<i>Regulation Number</i>	<i>Compliance Status</i>	<i>Company Remark</i>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Name : **V.Balamurugan**
Designation : **Company Secretary & Compliance Officer**