

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Indbank Merchant Banking Services Limited**
2. Quarter ending - **30-Sep-2023**

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category	Initial Date of Appointment	Date of Appointment	Tenure	Date of Birth	Whether the director is disqualified?	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ SRC including this listed entity	No of post of Chairperson in Audit/ SRC Committee held in listed entities	Membership in Committees of the Co
Mr.	IMRAN AMIN SIDDIQUI	09153707	AJCPS8436R	ND, C & N ED	30-Sep-2021	27-Sep-2022	24	30-Jun-1964	No	NA		3	0	0	0	
Mr.	GANAPATHY RAMASAMY SUNDARA VADIVEL	00353590	AKSPS5392R	ID	20-Nov-2013	21-Sep-2019	48	22-Aug-1941	No	Yes	21-Sep-2019	2	2	1	1	AC, NRC
Mrs.	CHITRAMURALI	06751105	ACSPC8297R	ID	20-Nov-2013	21-Sep-2019	48	04-Oct-1963	No	NA		1	1	2	1	AC, SC, NRC
Mr.	VAIJINATH GAVARSHETTY	08502484	ABQPG4492F	ID	19-Jul-2019	21-Sep-2019	48	18-Jun-1958	No	NA		2	2	1	0	AC, NRC
Mr.	SATISH KUMAR KALRA	01952165	ABDPK9845P	ID	30-Nov-2021	27-Sep-2022	22	25-Aug-1957	No	NA		3	3	3	0	AC, NRC

Mr.	HARI BABU	095 237 33	AAAPH 6974N	ED, ND	28- Feb- 2022	28- May- 2022	19	20- Jul- 1967	No	NA		2	0	0	0	SC
Mr.	SUNIL JAIN	096 652 64	ABPPJ3 611E	N ED, ND	22- Jul- 2022	27- Sep- 2022	14	13- Dec- 1972	No	NA		2	0	3	0	AC,SC ,NRC

Company Remarks	The Company has not appointed a regular chairperson. For successful validation of composition of Board of Directors, Shri Imran Amin Siddiqui is marked as Chairperson.
Whether Regular chairperson appointed	No
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of Committee members	Category	Chairperson/Member	Date of Appointment	Date of Cessation
1	GANAPATHY RAMASAMY SUNDARAVADIVEL	ID	Chairperson	22-Mar-2014	
2	CHITRA MURALI	ID	Member	20-Nov-2013	
3	VAIJINATH GAVARSHETTY	ID	Member	19-Jul-2019	
4	SATISH KUMAR KALRA	ID	Member	30-Nov-2021	
5	SUNIL JAIN	NED,ND	Member	22-Jul-2022	

Company Remarks	
Whether Regular chairperson appointed	Yes

b. Stakeholders Relationship Committee

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	CHITRA MURALI	ID	Chairperson	09-Aug-2014	
2	HARIBABU	ED,ND	Member	28-Feb-2022	
3	SUNIL JAIN	NED,ND	Member	22-Jul-2022	

Company Remarks	
Whether Regular chairperson appointed	Yes

c. Risk Management Committee

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
----------------	----------------------------------	-----------------	---------------------------	----------------------------	--------------------------

Company Remarks	
Whether Regular chairperson appointed	

d. Nomination and Remuneration Committee

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	CHITRA MURALI	ID	Chairperson	07-Nov-2019	
2	GANAPATHY RAMASAMY SUNDARAVADIVEL	ID	Member	09-Aug-2014	
3	VAIJINATH GAVARSHETTY	ID	Member	19-Jul-2019	
4	SATISH KUMAR KALRA	ID	Member	30-Nov-2021	
5	SUNIL JAIN	NED,ND	Member	22-Jul-2022	

Company Remarks	
Whether Regular chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present (All directors including Independent Director)	No. of Independent Directors attending the meeting
21-Apr-2023	Yes	7	7	4
19-Jul-2023	Yes	7	7	4
30-Aug-2023	Yes	7	7	4

Company Remarks	
Maximum gap between any two consecutive (in number of days)	88

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors present (All directors including Independent Director)	Number of Independent directors attending the meeting	Number of Members attending the Meeting (Other than Board of Directors)
Audit Committee	21-Apr-2023	Yes	5	5	4	0
Audit Committee	19-Jul-2023	Yes	5	5	4	0
Audit Committee	29-Aug-2023	Yes	5	5	4	0
Nomination & Remuneration Committee	29-Aug-2023	Yes	5	5	4	0

Stakeholders Relationship Committee	18-Apr-2023	Yes	3	3	1	0
Stakeholders Relationship Committee	18-May-2023	Yes	3	2	1	0
Stakeholders Relationship Committee	31-Jul-2023	Yes	3	3	1	0
Stakeholders Relationship Committee	16-Aug-2023	Yes	3	3	1	0
Stakeholders Relationship Committee	14-Sep-2023	Yes	3	2	1	0

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	88

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Not Applicable	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable	
Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions		

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 1000 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

Name : **Chitra MA**
Designation : **Company Secretary & Compliance Officer**

ANNEXURE III

Affirmations

Broad Heading	Regulation Number	Compliance Status	Company Remark
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Name : CHITRA MA
 Designation : Company Secretary & Compliance Officer

ANNEXURE IV

symbol	companyName	Quarter Ended
--------	-------------	---------------

(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Aggregate amount advanced during six months				Balance outstanding at the end of six months			
<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			

<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
<i>Promoter or any other entity controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

(D) If the Listed Entity would like to provide any other information the same may be indicated here

Affirmations	
<i>All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company</i>	
Company Remarks in case of non-compliant status	

Name: Designation: Place: Date:
--