

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Indbank Merchant Banking Services Limited**
 2. Quarter ending - **30-Sep-2021**

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/Non- Executive/ Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed	Membership in Committees of the Company	Remarks
Mr.	G R Sundaravadivel	0035335930	A K S P S S 9 3 9 2 2 R	ID		20-Nov-2013	21-Sep-2019		25	22-Aug-1941	Yes	21-Sep-2019	2	2	3	2	AC, NRC	
Mr s.	Chitra Murali	067511	A C S P C 8 2	ID		20-Nov-2013	21-Sep-2019		25	04-Oct-1963	NA		1	1	2	1	AC,S C,N RC	

		0 5	9 7 R														
Mr.	Vaijinath Gavarshetty	0 8 5 0 2 4 8 4	A B Q P G 4 4 9 2 F	ID		19-Jul- 2019	21- Sep- 2019		25	18- Jun- 1958	NA		1	1	1	0	AC, NRC
Mr.	Arun Kumar Bansal	0 8 4 2 5 5 8 2	A B X P B 3 2 0 9 N	NED,N D		25-Jul- 2020	29- Sep- 2020		0	01- Sep- 1970	NA		2	0	4	0	AC,S C,N RC
Mr.	A.Rajaraman	0 8 8 5 4 1 3 4	A F L P A 1 1 7 3 N	ED,ND		03- Sep- 2020	29- Sep- 2020		0	05- May- 1964	NA		2	0	4	0	SC

Mr.	Imran Amin Siddiqui	09153707	AJCS8436R	ND,C & NED		30-Sep-2021			0	30-Jun-1964	NA		2	0	1	0	NA	
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Company Remarks	Company has not appointed chairperson of the Board. Since, the field is not allowing us to file the CG, we have selected Shri Imran Amin Siddiqui, as chairperson of the Board.
Whether Permanent chairperson appointed	No
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	G R Sundaravadivel	ID	Chairperson	22-Mar-2014	
2	Vaijinath Gavarshetty	ID	Member	19-Jul-2019	
3	Chitra Murali	ID	Member	20-Nov-2013	
4	Arun Kumar Bansal	NED,ND	Member	25-Jul-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Chitra Murali	ID	Chairperson	09-Aug-2014	

2	Arun Kumar Bansal	NED,ND	Member	25-Jul-2020	
3	A.Rajaraman	ED,ND	Member	03-Sep-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
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Company Remarks	
Whether Permanent chairperson appointed	

d. Nomination and Remuneration Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	G R Sundaravadivel	ID	Member	09-Aug-2014	
2	Vaijinath Gavarshetty	ID	Member	19-Jul-2019	
3	Chitra Murali	ID	Chairperson	07-Nov-2019	
4	Arun Kumar Bansal	NED,ND	Member	25-Jul-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
22-Apr-2021	12-Jul-2021	Yes	5	3
	02-Sep-2021	Yes	4	3
	30-Sep-2021	Yes	3	2

Company Remarks	
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Maximum gap between any two consecutive (in number of days)	80
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iv. **Meeting of Committees**

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	22-Apr-2021	12-Jul-2021	Yes	4	3
Nomination & Remuneration Committee		02-Sep-2021	Yes	3	3
Nomination & Remuneration Committee		30-Sep-2021	Yes	2	2
Stakeholders Relationship Committee	15-Apr-2021	12-Jul-2021	Yes	3	1
Stakeholders Relationship Committee		18-Aug-2021	Yes	3	1
Stakeholders Relationship Committee		02-Sep-2021	Yes	3	1
Stakeholders Relationship Committee		14-Sep-2021	Yes	3	1

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	80

v. **Related Party Transactions**

<i>Subject</i>	<i>Compliance status (Yes/No/NA)</i>	<i>Remark</i>
Whether prior approval of audit committee obtained	Not Applicable	

Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
 b. Any comments/observations/advice of Board of Directors may be mentioned here:

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Name : **Balamurugan V**
Designation : **Company Secretary & Compliance Officer**

ANNEXURE III

Affirmations

Broad Heading	Regulation Number	Compliance Status	Company Remark
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

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Name : **V.Balamurugan**
Designation : **Company Secretary & Compliance Officer**

ANNEXURE IV

%symbol%	%companyName%	%quarterEnded%
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(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Aggregate amount advanced during six months				Balance outstanding at the end of six months			
<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>
0	0	0	0	0	0	0	0

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>	0	0	0
<i>Promoter Group or any other entity controlled by them</i>	0	0	0
<i>Directors (including relatives) or any other entity controlled by them</i>	0	0	0
<i>KMPs or any other entity controlled by them</i>	0	0	0

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
<i>Promoter or any other entity controlled by them</i>	0	0	0
<i>Promoter Group or any other entity controlled by them</i>	0	0	0
<i>Directors (including relatives) or any other entity controlled by them</i>	0	0	0
<i>KMPs or any other entity controlled by them</i>	0	0	0

(D) If the Listed Entity would like to provide any other information the same may be indicated here

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Affirmations

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company

Company Remarks in case of non-compliant status

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Name: V.Haribabu
Designation: Chief Financial Officer
Place: Chennai
Date: 07-Oct-2021